

**METHOD AND APPARATUS FOR
CONTROLLING E-MAIL ACCESS**

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BACKGROUND OF THE INVENTION
Field of the Invention

The present invention relates generally to e-mail messaging
10 systems, and, particularly, to a system and methodology for
controlling access to e-mail data content present in e-mail
messages.

Discussion of the Prior Art

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Senders of E-mail messages often want the message to be retrieved
and accessed by the intended recipient and not made available to
anybody else to access. For example, a sender of an e-mail
message including content of an intimate or personal nature would
like to prevent a receiving user from showing his/her note to
other people. Standard prevention methods that include encryption
only helps to prevent unauthorized access to data while it is
being communicated over the communication medium, e.g., phone
lines. These security methods however, cannot prevent improper
25 use of messages at a receiving end after they are decrypted.

It would thus be highly desirable to provide a system and method that enables a sender to control access to e-mail data after sending the e-mail message to the intended recipient.

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Summary of the Invention

It is an object of the present invention to provide a system and method for enabling a sender to control access to e-mail and electronic information content after sending the e-mail message to an intended recipient.

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According to a preferred embodiment of the invention, there is provided a system and method for controlling access to electronic information packages including e-mail messages communicated from a sending device to a device at one or more destination locations. The system and method includes determining fulfillment of one or more conditions at the destination location; and, implementing controls in response to detection of a fulfilled one or more conditions to enable access to content provided in a communicated package. The access includes enabling a user to perform certain operations (e.g., playing, displaying) on the package content at the destination location, or, preventing certain operations from being performed (e.g., copying, saving). A mechanism is included for enabling automatic

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destruction of the e-mail messages immediately after being read by an authorized recipient, or, after a predetermined time interval from receipt of the message. A verification system is additionally employed enabling a sender to verify and
5 authenticate users attempting to access the e-mail at the destination location prior to authorizing use or playback of the e-mail message.

Brief Description of the Drawings

10 Further features, aspects and advantages of the apparatus and methods of the present invention will become better understood with regard to the following description, appended claims, and accompanying drawings where:

15 Fig. 1 is a general block diagram depicting the system for controlling e-mail access by senders.

Fig. 2 is a diagram illustrating how a sender controls access to
20 his/her message at a receiving computer terminal.

Fig. 3 is an illustration depicting the window shell e-mail message according to the invention.

Fig. 4 is an illustration depicting the electronic information package to be sent by the sender.

5 Fig. 5(a) is an illustration depicting the method implemented for remote authorization according to the invention.

Fig. 5(b) is an illustration depicting the method implemented for local authorization according to the invention.

10 Fig. 6 is an illustration depicting the general workflow process performed at the receiver terminal.

Detailed Description of the Preferred Embodiment

15 Figure 1 is a general block diagram depicting the system 10 for controlling e-mail access by senders. As shown in Figure 1, the system implements electronic devices for sending one or several electronic information packages 60 from one or several computer devices 12 at originating locations through communication channels 25a,b, such as telephone channels, wireless channels, radio links for delivery over a network, e.g., the Internet 19, to one or several computer devices 32 at destination locations. In the preferred embodiment, "electronic information packages" 60

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include one or more of the following data types: e-mail messages, audio data, video data, animation data, textual data, pictorial data, which may include content of a confidential, personal, or intimate type. It is understood that an electronic information package may include any other types of data content, i.e., of a non-personal nature. According to the invention, the system enables access to these packages at the destination points and controls access to these packages at destination points by allowing or forbidding certain operations to be performed on these packages at these destination points in accordance with predetermined conditions. That is, only if certain predetermined conditions at these destination points are fulfilled, access to or destruction of these information packages is enabled.

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It is understood that computer devices 12, 32 at originating and destination locations are devices that comprise CPU and memory storage devices (not shown) however, such devices 12, 32 may include: laptop/notebook computers, embedded devices, and consumer electronics (kitchen appliances, TV, electronic gadgets, palmtops, and telephones). Further, as shown in Figure 1, the sending terminal will include a memory or database storage device 14 comprising recipient verification/authentication data accessible by the sender as will be described herein.

As shown in Figure 1, the computer device 32 at the destination location includes a modified e-mail program or executor 47 for retrieving and notifying a recipient of a retrieved message. The recipient computer device 32 further includes a controller module 35 implementing software controls for preventing certain operations 37 from being performed on received electronic information packages in accordance with the invention as discussed herein. Such controls include the satisfaction and/or determination of one or more certain conditions, as will be described in greater detail herein. Particularly, the controller module 35 permits or prevents one or more of the following operations to be performed on the received electronic information packages: a saving operation for saving these packages in memory storage devices at destination points; a transfer operation such as copying, printing, storing or downloading of these packages and data to memory storage devices; a displaying operation for video data, text, picture and animation data on one or several display devices (not shown) at destination points; and, playing audio data on one or several audio playback/speaker devices 38 at destination points (as shown in Figure 1). It is understood that other operations such as the destruction of the received electronic package may be enabled or prevented by controller module 35. Alternately, the electronic information package

itself may be equipped with a program that is capable to control access to its content and destroy these packages when certain conditions are fulfilled. Thus, for instance, a sender system 5 may be equipped with a program that is capable of controlling access to its content and destroy these packages when the certain conditions are fulfilled.

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In a preferred embodiment, an electronic information package may be automatically destructed at the destination computer terminal 32 at a pre-determined time after it is received. Thus, as shown in Figure 1, a message destroyer process 46 which may be executing as part of the controller module, or separately therefrom, implements a timer mechanism 43 for determining time elapsed from receipt of the electronic information package at computer device 32. After one or more pre-determined time intervals has elapsed, the message destroyer mechanism 46 will automatically trigger a destruction operation in the computer terminal for deleting the electronic information package.

According to the invention, the number of intervals and length of a time interval may be set by the sender of the message, for 20 instance, as a parameter to be entered as part of the e-mail message. As will be described in greater detail herein, this parameter information is received as part of or, in addition to the e-mail message, and implemented by the message destroyer 46

and timer mechanisms at the destination device 32. The actual destruction operation may be performed by the controller module 35 separately from or, in conjunction with a particular computer operating system.

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It is understood that other conditions may be satisfied for triggering the destruction of a received electronic information package at the destination computer terminal. The other conditions include, but are not limited to the following: a) the detection of someone or something trying to perform a forbidden operation on the received electronic information package at the computer device 32; b) the direct command from the e-mail sender to instruct the control module to destroy a message at a later point in time; c) the detection of a modification or change in the CPU; a change in memory amount, or memory modification; a modification to or change of a peripheral device implemented at computer devices at destination points that are not related to the process of displaying or playing information packages at destination points; and d) the detection of when a playback and/or display of information package content is completed at the destination computer device 32.

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Preferably, the condition a) of detecting attempted performance of a forbidden operation on the received electronic information package at the computer device 32 may be specified by the sender and entered as a parameter in the e-mail message, or, as a data attached to the message. As mentioned herein, types of forbidden operations include: a saving operation for saving these packages in memory storage devices at destination points; and, a transfer operation such as copying, printing, storing or downloading of these packages and data to memory storage devices. In operation, the control module 35 either separately from or, in conjunction with the computer device's operating system, will detect such a forbidden operation attempt, and trigger the destroyer process 46 to destruct the received electronic information package.

Similarly, as for condition b) the sender may additionally send a direct command via e-mail at a later point in time as a parameter in the e-mail message, or, as data or a program attached to the message in order to trigger the destroyer process 46 to destruct the received electronic information package.

Preferably, the condition c) of detecting a modification or change in the CPU or a change/modification of memory or peripheral device may be specified by the sender of the package and performed by the control module 35. Once such a condition is

detected, the control module will trigger the destroyer process
46 to destruct the received electronic information package.

Similarly, as for condition d) the control module 35 will trigger
the destroyer process 46 to destruct the received electronic

5 information package upon detection of a second or subsequent
attempt to playback and/or display information package content
at the computer device 32.

Still other conditions may be satisfied for triggering the
10 destruction of a received electronic information package at the
destination computer terminal. As shown in Figure 1, the other
conditions include, but are not limited to the following: e) the
detection of one or several processes running in CPU or memory
devices at destination points 32 that are related to process of
15 copying, downloading, printing, or saving information packages,
or, f) the detection of pressing a certain key on a keyboard
device 28, the pressing of a button, or the attempted use of
other input devices (e.g., a speech recognition device, or a
pen-table) at destination locations. As described above with
20 respect to conditions c) and d), the detection of conditions e)
and f) are performed by the control module 35 in conjunction with
the computer's operating system, which cooperatively functions to

trigger the destroyer process 46 to destructure the received electronic information package at the receiver device 32.

In addition to specifying types of conditions for triggering the destruction of a received electronic information package at the destination computer terminal, the sender may specify one or more additional sets of conditions that must be satisfied for enabling the performance of certain operations on the received electronic information package at the destination location. As mentioned herein, types of permitted operations that may be performed include: but are not limited to, the following: a displaying operation for video data, text, picture and animation data on one or several display devices (not shown) at destination points; and, playing audio data on one or several audio playback/speaker devices 38 at destination points. The other conditions include, but are not limited to the following: g) a permission from the sender, e.g., entered as a parameter in the e-mail message, or, as a data or program attached to the message for use by the control module; and, h) the detection and identification of authorized user(s), for which access to these information packages is allowed; or, i) the detection or identification of other permissible electronic systems at destination locations

that are trying to perform operations on the received electronic package content.

As depicted in Figure 2, the condition h) of detecting and identifying authorized user(s) to accomplish the detection of an e-mail message, the computer device 32 at the destination location and the sending device may include the monitoring of user(s) via TV cameras or video camera devices 49 that are installed at destination points. For example, video device hardware/software devices, such as video camera 49, may be implemented to enable a sender 12 to observe users that request to read or play a content of information packages at destination points.

In a preferred embodiment, an electronic information package access operation may be enabled at the destination computer terminal 32 by implementation of a identification/authentication process 56 which executes locally as part of the controller module 35, or remotely therefrom. The identification/authentication process 56 that enables users or systems to access information packages may be performed in accordance with one or more of the following methods: the presentation by a user of a "pid" (personal ID) and/or passwords;

and, the presentation and verification of that user's biometrics, fingerprints, and/or voice. That is, the identification/authorization process 56 implements well known techniques for verifying user's biometrics, fingerprints, and/or detected voice patterns at computer device 32. Such techniques for verifying, identifying may include techniques such as described in commonly-owned, co-pending U.S. Patent Application No. 09/079,754 (Y0998-033 (728-103), entitled APPARATUS AND METHODS FOR USER RECOGNITION EMPLOYING BEHAVIORAL PASSWORDS, the whole contents and disclosure of which is incorporated by reference as if fully set forth herein.

The control module 35 additionally enables systems to access information packages and/or systems that request to access information packages such as: a) systems at communication subroutines/switches that support transferring data along other communication channels to new destination points; b) automated systems that are capable to understand content of information packages to perform necessary operations that are required by these sent packages; and, c) robotic devices. Thus, the identification/authorization process 56 further includes a detection mechanism for identifying if systems that are trying to perform operations on the received electronic package content at

destination points are permissible electronic systems. It is understood that the permissible electronic systems may be specified by a sender, e.g., entered as a parameter in the e-mail message, or, as a program attached to the message or information package.

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According to the invention, access to electronic information packages is provided on displays 31, or, via speakers 38 or telephone sets 39, as shown in Figure 1. As shown in Figure 3, 10 electronic information packages comprising visual, text, image, and/or pictorial data are displayed through window shells 59 according to known e-mail format or GUI representations, such as provided by Lotus Notes, Netscape, Microsoft Outlook, Eudora, and the like. However, it is understood that the window shell 59 will only display e-mail message content and prevent any further operations from being performed (no printing, copying, etc.). 15 For instance, textual and pictorial data in window shells 59 may run from beginning of the data to the end (from one end of the window shell to another).

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In accordance with the invention as illustrated in Figure 4, an electronic information package 60 may comprise one or more of the following fields: 1) a reading time field 62 having a data

structure which specifies the time in hours, minutes and seconds (HH:MM:SS) for when the message content is to be displayed or available for the recipient; 2) a Valid time interval field 64 (from <date:time> to <date:time>) which specifies the time range during which the message content may be read, i.e., if it is accessed before the specified time, the message will not be available, if expired, it will be automatically destroyed; 3) an authentication method field 66 which includes a description of the method implemented (either remotely or locally) for authenticating the recipient/user; 4) authentication data field 68 which includes data used for the verification method implemented, e.g., voice pattern, fingerprint and other biometric data; 5) the target recipient(s) field 70 which specifies one or more recipients allowed to access the message and their e-mail addresses; 6) a Sender field 72 which includes information about the person/system that sends the message; and 7) the actual body of the message 80, i.e., electronic information content.

The system for providing remote user authentication, according to the invention, is now described in view of Figure 5(a). As shown in Figure 5(a), at step 82, the message package is created on the sender system 12 and sent to the receiver terminal via communications channels 25. Preferably, the entire communication

between the sending and receiving end-points is encrypted. At step 84, at the destination 32, the receiver device processes the authentication method field 66 from the message package 60 and determines the type of the authentication method and that the authentication is to be performed remotely. After obtaining data (e.g., by obtaining a user-entered userid or password, and/or a camera image, voice-print, or a finger-print scan, etc.), the collected information is communicated back to the sender device at step 85 for processing there. Then, at step 86, a verification of identity is be done by a query to the database 14 (Figure 1), visual inspection (by the active video camera system (Figure 2), or by using apparatus for user recognition according to techniques known in the prior art. When all the verification conditions are fulfilled, at step 88, the sender will either grant the access to the information by sending a message, or, otherwise it may send a request to destroy the message. If authentication is successful, the message package will be available to the recipient for the period of time specified in Reading Time field 62 (Figure 4), as indicated at step 89, otherwise it will be destroyed, as indicated at step 90.

The system for providing local user authentication, according to the invention, is now described in view of Figure 5(b). As shown

in Figure 5(b), at step 92, the message is created on the sender system 12. Further this step 92 requires determining a list of authorized recipient(s) and the authentication method, and the retrieval of authentication data from the database 14 (Figure 1) at the sender terminal. Once all this information is determined and all the data required for authentication is packaged with the message in the Authentication Data field 68, the message is then sent to the recipient terminal where it is received at step 94. At step 94, the authentication takes place and the results are compared with the data from the Authentication Data field 68. A decision is made at step 95 to determine if the authentication was successful. If the authentication was successful, the message becomes available to the recipient for the period of time specified in reading time field at step 96, otherwise it is destroyed at step 98.

It should be understood that, local authentication is much faster than remote authentication, because, after the message is sent, it executes independent of the sender.

Figure 6 is a workflow diagram illustrating the method executed at the receiver device for controlling e-mail access of the invention. As indicated at a first step 102, the message package

is received. Regardless of the type of the authentication specified in Authentication Method field 66, the receiver enables the authentication method at step 104 and compares the results with the data contained in Authentication Data field 68 of the received message, as indicated at step 106. If the authorization fails as indicated at 108, the destroy process is executed and the message content is destroyed. Likewise, if the validation fails as indicated at 110, the destroy process is executed. If the validation is accepted, the message content is available for display/playback. Once the message is displayed or played back, the reading time (HH:MM:SS) message field is checked and the timer mechanism invoked to enable display/playback of the message content for the specified time interval, as indicated at 118. If the reading message time has elapsed, as indicated at 115, the destroy process is executed and the message content destroyed. Likewise, the valid time interval is checked at 118 to determine if the recipient has accessed the message content within the valid time period indicated by the Valid time field 64 of the message. Once the valid time interval has elapsed as indicated at step 120, the message content is destroyed. Further, as shown in Figure 6, any illegal operation 121 causes the message to be destroyed and the sender to be optionally notified at step 123. Thus, a message is available to the recipient only when

successfully authenticated and only within the time period
specified in Reading Time field.

While the invention has been particularly shown and described
5 with respect to illustrative and preformed embodiments thereof,
it will be understood by those skilled in the art that the
foregoing and other changes in form and details may be made
therein without departing from the spirit and scope of the
invention which should be limited only by the scope of the
10 appended claims.

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